

**QUALITY CONTROL E&O SUPPLEMENTAL**

Name of Applicant: \_\_\_\_\_

1. List the Applicant's consulting activities and indicate the percentage of gross receipts derived from each activity:

- (a) \_\_\_\_\_ %
- (b) \_\_\_\_\_ %
- (c) \_\_\_\_\_ %
- (d) \_\_\_\_\_ %

NOTE: In answering the following questions, if the answer is YES, attach a statement containing complete details.

2. Does the Applicant sell, promote or perform any service other than listed in Question 1? ..... YES  NO

If YES, set forth those other services. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. (a) Please describe the type of clients served by you. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(b) Please describe the type of industries served by you. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(c) Does the Applicant specialize in any one consulting area or industry? ..... YES  NO

(d) Please attach a list of your five largest jobs in the last three years giving name of client, type of services provided, when and the gross receipts obtained for each job. Please attach your Brochure describing your services.

4. Does the Applicant consult on means or methods of financing or obtaining funds, including but not limited to loans, grants, mergers, acquisitions, capitalizations, divestitures, or liquidations?..... YES  NO

5. Is the Applicant involved in the management, purchase, sale or maintenance of any real or personal property, or in any activity related in any way to investments or investing, including but not limited to securities, time deposits, annuities, futures contracts, partnerships, syndications or tax shelters? ..... YES  NO

6. Does the Applicant consult on, supervise or manage any escrow accounts, trust funds, or insurance plans?..... YES  NO

7. Does the Applicant sell, distribute, design, manufacture, recommend or test any product or process for creating a project? ..... YES  NO

8. Does the Applicant prepare, review or approve architectural, engineering or construction maps, plans, opinions, estimates, surveys, designs or specifications, or is the Applicant otherwise involved in any way with the design, construction, demolition or testing of any buildings or structures or any components thereof? ..... YES  NO

9. Has the Applicant agreed to manage the operations of any business on behalf of any client, or does the Applicant assist in negotiating or have any authority to enter into contractual relationships on any client's behalf? ..... YES  NO

