



**SUPPLEMENTAL
MISCELLANEOUS
PROFESSIONAL LIABILITY
APPLICATION
Accountant/Bookkeeper
(Claims Made & Reported Basis)**

Name of Applicant: _____

1. List the Applicant's consulting activities and indicate the percentage of gross receipts derived from each activity:

- | | |
|------------------------------|-------------|
| (a) Tax Returns - Individual | _____ % |
| (b) Tax Returns - Corporate | _____ % |
| (c) Bookkeeping - Individual | _____ % |
| (d) Bookkeeping - Corporate | _____ % |
| (e) Other | _____ % |
| Total | 100% |

- | | | |
|---|--|-----------|
| 2. Does the Applicant | | %Receipts |
| (a) Prepare audits? | YES <input type="checkbox"/> NO <input type="checkbox"/> | _____ |
| (b) Recommend, supervise or manage any investment or trust funds on behalf of clients? | YES <input type="checkbox"/> NO <input type="checkbox"/> | _____ |
| (c) Provide services for corporate clients who possess over \$1 million in assets? | YES <input type="checkbox"/> NO <input type="checkbox"/> | _____ |
| (d) Provide payroll processing services? (ie. Managing accounts, issuing checks, withholding taxes) | YES <input type="checkbox"/> NO <input type="checkbox"/> | _____ |

If YES, provide details. _____

3. Have any key personnel had their professional license revoked or been subject to disciplinary action? YES NO

Provide the approximate percentage of your gross billings (fees) received from each of the following types of engagement for the last fiscal year. Must total 100%.

Also indicate whether your firm uses engagement letters for each engagement performed below by checking the YES or NO column.

Type	%	Yes	No		%	Yes	No
Corporate Tax				Data Processing			
Individual Tax				Corporate Financial Planning			
Compilation				Audit			
Bookkeeping				SEC Work			
Elder Care				Assurance Services			
MAS Services				Other (attach explanation)			
Review				Other (attach explanation)			
Personal Financial Planning				Other (attach explanation)			

It is understood that this supplement becomes a part of the Application for Miscellaneous Professional Liability insurance, and is utilized to develop pertinent information unique to accountant/bookkeeping operations.

In addition to all other terms and conditions:

Applicable in Kentucky. Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

Date Authorized Representative Title